

17698 U.S. PTO
021304

**HUNTON &
WILLIAMS**

INTELLECTUAL PROPERTY DEPARTMENT
HUNTON & WILLIAMS LLP
1900 K STREET, N.W.
WASHINGTON, D.C. 20006-1109

TEL 202 • 955 • 1500
FAX 202 • 778 • 2201

YISUN SONG
DIRECT DIAL: (202) 955-1966
EMAIL: YSONG@HUNTON.COM

FILE NO: 52493.000369

February 13, 2004

17548 U.S. PTO
10/777728

021304

UTILITY PATENT APPLICATION TRANSMITTAL

Attorney Docket Number: **52493.000369**

First Named Inventor: **Timothy Patrick Jon PERRY**

Title: **METHOD AND SYSTEM FOR STORING AND RETRIEVING DOCUMENT
DATA USING A MARKUP LANGUAGE STRING AND A SERIALIZED
STRING**

Customer No. **21967**

TO: **MAIL STOP PATENT APPLICATION**
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Attached are the following for filing with the U.S. Patent and Trademark Office:

1. ☒ Fee Transmittal Form (original and duplicate)
2. ☐ Small Entity Status Claimed: ☐ Independent Inventor
☐ Small Business Concern
☐ Non-Profit Organization
☐ Statement Enclosed
☐ Statement Filed in Prior Application; Status Still Proper and Desired
3. ☒ Specification - Total Pages: 25 (Including Abstract)

MAIL STOP PATENT APPLICATION

February 13, 2004

Page 2

CLAIMS AS FILED						
	Claims Filed	Basic Fee Claims	Extra	Rate		Amount
				Large Entity	Small Entity	
Total Claims	24	20	4	\$ 18.00	\$ 9.00	\$ 72.00
Independent Claims	6	3	3	\$ 86.00	\$ 43.00	\$ 258.00
First Presentation of Multiple Dependent Claims				\$ 290.00	\$ 145.00	\$ 0.00
BASIC FEE				\$ 770.00	\$ 385.00	\$ 770.00
TOTAL FILING FEE						\$1,100.00
Assignment Recordation Fee						\$ 40.00
TOTAL AMOUNT						\$1,140.00

4. ☒ Drawings - Total Sheets: 5 (Fig(s). 1-5)

5. Oath or Declaration - Total Pages: 6

a. ☒ Newly executed (original or copy)
☐ New (unexecuted)

b. ☐ Copy from a prior application
(for continuation/divisional with Box 18 completed)

i. ☐ DELETION OF INVENTOR(s):
Signed statement attached deleting inventor(s) named in prior application.

6. ☐ Application Data Sheet

7. ☐ CD-ROM or CD-R in duplicate, large table or Microfiche Computer Program (Appendix)

8. ☐ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)

a. ☐ Computer Readable Form (CRF)

b. ☐ Specification Sequence Listing on:

MAIL STOP PATENT APPLICATION

February 13, 2004

Page 3

- i. ☐ CD-ROM or CD-R (two copies); or
- ii. ☐ Paper Copy (identical to computer copy)
- c. ☐ Statements verifying identity of above copies
9. ☒ Assignee/Applicant: **GENWORTH FINANCIAL, INC.**
- ☒ Assignment and Assignment Recordation Form Submitted Herewith
- ☐ Assignee of Record in Prior Application No. _____ :

recorded on _____ at Reel _____, Frame _____.
10. ☐ 37 C.F.R. 3.73(b) Statement ☐ Power of Attorney
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement with PTO-1449 and References
- ☐ Copies of Information Disclosure Statement Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard
15. ☐ Priority is Claimed as Follows:

- ☐ Claim Benefit of the Following U.S. Provisional Application No(s):

- ☐ If Foreign Priority is Claimed, Certified Copy of the Above Priority Document(s) is Submitted Herewith
16. ☐ Nonpublication Request under 35 U.S.C. § 122(b)(2)(B)(i). Applicant must attach Form PTO/SB/35 or its equivalent.

MAIL STOP PATENT APPLICATION

February 13, 2004

Page 4

17. ☐ Other: _____

18. ☐ Continuation ☐ Divisional ☐ Continuation-in-Part of
Prior Application No.: _____ filed _____

Prior application information: Examiner _____ Group
Art Unit _____

☐ Incorporation By Reference (useable if Box 5b is marked)
The entire disclosure of the prior application, from which a copy of the
oath or declaration is supplied under Box 5b, is considered as being part
of the disclosure of the accompanying continuation or divisional
application and is hereby incorporated by reference. The incorporation
can only be relied upon when a portion has been inadvertently omitted
from the submitted application papers.

☐ Complete Application Based on Provisional Application No.: _____
filed _____

19. Please address all correspondence to:

☒ **CUSTOMER NUMBER 21967**

Jennifer A. Albert
Intellectual Property Department
Hunton & Williams LLP
1900 K Street, N.W.
Suite 1200
Washington, DC 20006-1109

20. ☐ A new power of attorney or authorization of agent (PTO/SB/81) is as
follows:

☒ The power of attorney is to: the Hunton & Williams LLP attorneys and
agents associated with **CUSTOMER NUMBER 21967**.

☐ Please remove as power of attorney:

☐ Please add as power of attorney:

HUNTON & WILLIAMS

MAIL STOP PATENT APPLICATION

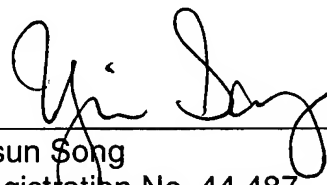
February 13, 2004

Page 5

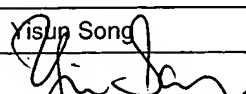
21. ☒ A check in the amount of \$ 1,140.00 is enclosed. In the event any variance exists between the amount enclosed and the Patent Office charges, please charge or credit any such variance to **Deposit Account No. 50-0206**.
- ☒ The U.S. Patent and Trademark Office is hereby authorized to charge any variance between the amount enclosed and the Patent Office charges to **Deposit Account No. 50-0206**.

Respectfully submitted,

By:


Yisun Song
Registration No. 44,487

YS/vrp
Enclosures

FEE TRANSMITTAL MAIL STOP PATENT APPLICATION				Complete If Known																																																	
				Application No.		Unassigned																																															
				Filing Date		February 13, 2004																																															
				First Named Inventor		Timothy Patrick Jon Perry																																															
				Examiner Name		Unassigned																																															
				Group Art Unit		Unassigned																																															
Total Amount Of Payment (\$)			1140.00		Attorney Docket No.		52493.000369																																														
METHOD OF PAYMENT (check one)					FEE CALCULATION (continued)																																																
1. <input type="checkbox"/> The Commissioner for Patents is hereby authorized to charge indicated fees and credit any over payments to Deposit Account No. 50-0206 in the name of Hunton & Williams LLP.					3. ADDITIONAL FEES <table style="width: 100%; border-collapse: collapse;"> <tr> <th style="text-align: left;">Fee Description</th> <th style="text-align: right;">Fee Paid</th> </tr> <tr><td><input type="checkbox"/> Surcharge - late filing fee or oath</td><td style="text-align: right;">\$</td></tr> <tr><td><input type="checkbox"/> Surcharge - late provisional filing fee or cover sheet</td><td style="text-align: right;">\$</td></tr> <tr><td><input type="checkbox"/> _____ Month Extension of Time</td><td style="text-align: right;">\$</td></tr> <tr><td><input type="checkbox"/> Notice of Appeal</td><td style="text-align: right;">\$</td></tr> <tr><td><input type="checkbox"/> Filing Brief in Support of Appeal</td><td style="text-align: right;">\$</td></tr> <tr><td><input type="checkbox"/> Request for Oral Hearing</td><td style="text-align: right;">\$</td></tr> <tr><td><input type="checkbox"/> Utility Issue Fee (or Reissue) (including Publication Fee, if necessary)</td><td style="text-align: right;">\$</td></tr> <tr><td><input type="checkbox"/> Design Issue Fee</td><td style="text-align: right;">\$</td></tr> <tr><td><input type="checkbox"/> Plant Issue Fee</td><td style="text-align: right;">\$</td></tr> <tr><td><input type="checkbox"/> Petition to Commissioner</td><td style="text-align: right;">\$</td></tr> <tr><td><input type="checkbox"/> Petition to Revive (Unavoidable)</td><td style="text-align: right;">\$</td></tr> <tr><td><input type="checkbox"/> Petition to Revive (Unintentional)</td><td style="text-align: right;">\$</td></tr> <tr><td><input type="checkbox"/> Petitions Related to Provisional Applications</td><td style="text-align: right;">\$</td></tr> <tr><td><input type="checkbox"/> Submission of Information Disclosure Statement</td><td style="text-align: right;">\$</td></tr> <tr><td><input type="checkbox"/> Filing Submission After Final Rejection</td><td style="text-align: right;">\$</td></tr> <tr><td><input checked="" type="checkbox"/> Recording Each Patent Assignment Per Property</td><td style="text-align: right;">\$ 40.00</td></tr> <tr><td><input type="checkbox"/> Filing Request for Reexamination</td><td style="text-align: right;">\$</td></tr> <tr><td><input type="checkbox"/> Other (specify) _____</td><td style="text-align: right;">\$</td></tr> </table>					Fee Description	Fee Paid	<input type="checkbox"/> Surcharge - late filing fee or oath	\$	<input type="checkbox"/> Surcharge - late provisional filing fee or cover sheet	\$	<input type="checkbox"/> _____ Month Extension of Time	\$	<input type="checkbox"/> Notice of Appeal	\$	<input type="checkbox"/> Filing Brief in Support of Appeal	\$	<input type="checkbox"/> Request for Oral Hearing	\$	<input type="checkbox"/> Utility Issue Fee (or Reissue) (including Publication Fee, if necessary)	\$	<input type="checkbox"/> Design Issue Fee	\$	<input type="checkbox"/> Plant Issue Fee	\$	<input type="checkbox"/> Petition to Commissioner	\$	<input type="checkbox"/> Petition to Revive (Unavoidable)	\$	<input type="checkbox"/> Petition to Revive (Unintentional)	\$	<input type="checkbox"/> Petitions Related to Provisional Applications	\$	<input type="checkbox"/> Submission of Information Disclosure Statement	\$	<input type="checkbox"/> Filing Submission After Final Rejection	\$	<input checked="" type="checkbox"/> Recording Each Patent Assignment Per Property	\$ 40.00	<input type="checkbox"/> Filing Request for Reexamination	\$	<input type="checkbox"/> Other (specify) _____	\$						
										Fee Description	Fee Paid																																										
<input type="checkbox"/> Surcharge - late filing fee or oath	\$																																																				
<input type="checkbox"/> Surcharge - late provisional filing fee or cover sheet	\$																																																				
<input type="checkbox"/> _____ Month Extension of Time	\$																																																				
<input type="checkbox"/> Notice of Appeal	\$																																																				
<input type="checkbox"/> Filing Brief in Support of Appeal	\$																																																				
<input type="checkbox"/> Request for Oral Hearing	\$																																																				
<input type="checkbox"/> Utility Issue Fee (or Reissue) (including Publication Fee, if necessary)	\$																																																				
<input type="checkbox"/> Design Issue Fee	\$																																																				
<input type="checkbox"/> Plant Issue Fee	\$																																																				
<input type="checkbox"/> Petition to Commissioner	\$																																																				
<input type="checkbox"/> Petition to Revive (Unavoidable)	\$																																																				
<input type="checkbox"/> Petition to Revive (Unintentional)	\$																																																				
<input type="checkbox"/> Petitions Related to Provisional Applications	\$																																																				
<input type="checkbox"/> Submission of Information Disclosure Statement	\$																																																				
<input type="checkbox"/> Filing Submission After Final Rejection	\$																																																				
<input checked="" type="checkbox"/> Recording Each Patent Assignment Per Property	\$ 40.00																																																				
<input type="checkbox"/> Filing Request for Reexamination	\$																																																				
<input type="checkbox"/> Other (specify) _____	\$																																																				
2. <input checked="" type="checkbox"/> Check Enclosed. The Commissioner for Patents is hereby authorized to charge any variance between the amount enclosed and the Patent Office charges to Deposit Account No. 50-0206 in the name of Hunton & Williams LLP, 1900 K Street, N.W., Suite 1200, Washington, D.C. 20006-1109.					<table style="width: 100%; border-collapse: collapse;"> <tr> <th colspan="3" style="text-align: center;">FEE CALCULATION</th> </tr> <tr> <td style="width: 40%;"> 1. BASIC FILING FEE </td> <td style="width: 20%;"> <input checked="" type="checkbox"/> Large Entity <input type="checkbox"/> Small Entity </td> <td style="width: 40%; text-align: right;"> FEE PAID Utility Filing Fee \$ 770.00 Design Filing Fee \$ Plant Filing Fee \$ Reissue Filing Fee \$ Provisional Filing Fee \$ </td> </tr> </table>					FEE CALCULATION			1. BASIC FILING FEE	<input checked="" type="checkbox"/> Large Entity <input type="checkbox"/> Small Entity	FEE PAID Utility Filing Fee \$ 770.00 Design Filing Fee \$ Plant Filing Fee \$ Reissue Filing Fee \$ Provisional Filing Fee \$																																						
										FEE CALCULATION																																											
1. BASIC FILING FEE	<input checked="" type="checkbox"/> Large Entity <input type="checkbox"/> Small Entity	FEE PAID Utility Filing Fee \$ 770.00 Design Filing Fee \$ Plant Filing Fee \$ Reissue Filing Fee \$ Provisional Filing Fee \$																																																			
2. EXTRA CLAIMS FEES					<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <th colspan="7" style="text-align: center;">CLAIMS AS AMENDED</th> </tr> <tr> <th rowspan="2">For</th> <th rowspan="2">Number Present</th> <th rowspan="2">Highest Number Paid For</th> <th rowspan="2">Extra</th> <th colspan="2">Rate</th> <th rowspan="2">Amount</th> </tr> <tr> <th>Large Entity</th> <th>Small Entity</th> </tr> <tr> <td>TOTAL CLAIMS</td> <td style="text-align: center;">24</td> <td style="text-align: center;">20</td> <td style="text-align: center;">4</td> <td style="text-align: center;">x \$ 18.00</td> <td style="text-align: center;">x \$ 9.00</td> <td style="text-align: right;">\$ 72.00</td> </tr> <tr> <td>INDEPENDENT CLAIMS</td> <td style="text-align: center;">6</td> <td style="text-align: center;">3</td> <td style="text-align: center;">3</td> <td style="text-align: center;">x \$ 86.00</td> <td style="text-align: center;">x \$ 43.00</td> <td style="text-align: right;">\$ 258.00</td> </tr> <tr> <td colspan="4">MULTIPLE DEPENDENT CLAIMS</td> <td style="text-align: center;">\$ 290.00</td> <td style="text-align: center;">\$ 145.00</td> <td style="text-align: right;">\$ 0.00</td> </tr> <tr> <td colspan="6">TOTAL EXTRA CLAIMS FEES</td> <td style="text-align: right;">\$ 330.00</td> </tr> </table>					CLAIMS AS AMENDED							For	Number Present	Highest Number Paid For	Extra	Rate		Amount	Large Entity	Small Entity	TOTAL CLAIMS	24	20	4	x \$ 18.00	x \$ 9.00	\$ 72.00	INDEPENDENT CLAIMS	6	3	3	x \$ 86.00	x \$ 43.00	\$ 258.00	MULTIPLE DEPENDENT CLAIMS				\$ 290.00	\$ 145.00	\$ 0.00	TOTAL EXTRA CLAIMS FEES						\$ 330.00
										CLAIMS AS AMENDED																																											
For	Number Present	Highest Number Paid For	Extra	Rate		Amount																																															
				Large Entity	Small Entity																																																
TOTAL CLAIMS	24	20	4	x \$ 18.00	x \$ 9.00	\$ 72.00																																															
INDEPENDENT CLAIMS	6	3	3	x \$ 86.00	x \$ 43.00	\$ 258.00																																															
MULTIPLE DEPENDENT CLAIMS				\$ 290.00	\$ 145.00	\$ 0.00																																															
TOTAL EXTRA CLAIMS FEES						\$ 330.00																																															
SUBMITTED BY						Complete (if applicable)																																															
Typed or Printed Name			Yisun Song			Registration No. 44,487																																															
Signature						Date February 13, 2004																																															